OPTIMAL TIME-DEPENDENT LOWER BOUND ON DENSITY
FOR CLASSICAL SOLUTIONS OF 1-D COMPRESSIBLE EULER
EQUATIONS

GENG CHEN

Abstract. For compressible Euler equations, even when initial data are
uniformly away from vacuum, solution can approach vacuum in infinite
time. Achieving sharp lower bounds of density is crucial in the study of
Euler equations. In this paper, for initial value problems of isentropic and
full Euler equations in one space dimension, assuming initial density has
positive lower bound, we prove that density functions in classical solutions
have positive lower bounds in the order of $O(1 + t)^{-1}$ and $O(1 + t)^{-1-\delta}$ for
any $0 < \delta \ll 1$, respectively, where $t$ is time. The orders of these bounds are
optimal or almost optimal, respectively. Furthermore, for classical solutions
in Eulerian coordinates $(y, t) \in \mathbb{R} \times [0, T)$, we show velocity $u$ satisfies that
$u_y(y, t)$ is uniformly bounded from above by a constant independent of $T$,
although $u_y(y, t)$ tends to negative infinity when gradient blowup happens,
i.e. when shock forms, in finite time.

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1. Introduction

Compressible Euler equations in Lagrangian coordinates in one space dimension
are
\begin{align*}
\tau_t - u_x &= 0, \\
u_t + p_x &= 0, \\
\left(\frac{1}{2}u^2 + e\right)_t + (up)_x &= 0, 
\end{align*}
where $\rho$ is the density, $\tau = \rho^{-1}$ is the specific volume, $p$ is the pressure, $u$ is the
velocity, $e$ is the specific internal energy, $t \in \mathbb{R}^+$ is the time and $x \in \mathbb{R}$ is the
spatial coordinate. The compressible Euler equations are widely used, especially in
the gas dynamics. The classical solutions for the compressible Euler equations in
Lagrangian and Eulerian coordinates are equivalent [10].

For simplicity, in this paper, we only consider the case when the gas is ideal
polytropic, in which
\begin{equation}
p = Ke^{\frac{\gamma}{\gamma-1}\tau^{-\gamma}} \text{ with adiabatic gas constant } \gamma > 1, \tag{1.4}
\end{equation}
and
\begin{equation*}
e = \frac{p\tau}{\gamma - 1},
\end{equation*}

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where $S$ is the entropy, $K$ and $c_v$ are positive constants, c.f. [9] or [16]. For $C^1$ solutions, it follows that (1.3) is equivalent to the conservation of entropy [16]:

$$S_t = 0,$$

hence

$$S(x, t) \equiv S(x, 0) \equiv S(x).$$

If the entropy is constant, the flow is isentropic, then (1.1) and (1.2) become a closed system, known as the $p$-system:

$$\tau_t - u_x = 0, \quad (1.6)$$
$$u_t + p_x = 0, \quad (1.7)$$

with

$$p = K \tau^{-\gamma}, \quad \gamma > 1, \quad (1.8)$$

where, without loss of generality, we still use $K$ to denote the constant in pressure.

In this paper, we consider the classical solutions of initial value problems for full Euler equations (1.1), (1.2), (1.4) and (1.5) with initial data $(u(x, 0), \tau(x, 0), S(x, 0))$ and isentropic Euler equations (1.6)–(1.8) with initial data $(u(x, 0), \tau(x, 0))$. We consider large data problem, which means that there is no restriction on the size of the solutions.

Toward a large data global existence of BV solutions for compressible Euler equations, which is the major open problem in the field of hyperbolic conservation laws, one of the main challenges is the possible degeneracy when density approaches zero. In fact, solution loses its strict hyperbolicity as density approaches zero. See [1,3,14] for analysis and examples showing these difficulties. Therefore, sharp information on the time decay of density lower bound is critical in the study of compressible Euler equations. Furthermore, the time-dependent lower bound on density for classical solutions can be used to study the shock formation and life-span of classical solutions.

The study of lower bound of density for classical solutions can be traced back to Riemann’s pioneer paper [15] in 1860, in which he considered a special wave interaction between two strong rarefaction waves. By studying Riemann’s construction, Lipschitz continuous examples for isentropic Euler equations (1.6)–(1.8) were provided in Section 82 in [9], in which the function $\min_{x \in \mathbb{R}} \rho(x, t)$ was proved to decay to zero in an order of $O(1 + t)^{-1}$ as $t \to \infty$, while initial density is uniformly away from zero. A relative detailed discussion can be found in [5], when the adiabatic constant $\gamma = \frac{2N+1}{2N-1}$ with any positive integer $N$.

Then there were a lot of articles working on time-dependent lower bound on density for general classical solutions of isentropic Euler equations (1.6)–(1.8) under assumption that initial density is uniformly positive. For rarefactive piecewise Lipschitz continuous solutions, for any $\gamma > 1$, Longwei Lin first proved that the density has lower bound in the order of $O(1 + t)^{-1}$ in [13] by introducing a polygonal scheme. A breakthrough for general classical solutions happens in a recent paper [4], in which Chen-Pan-Zhu found a lower bound of density in the order of $O(1 + t)^{-1/3\gamma}$ when $1 < \gamma < 3$. Using this result together with Lax’s decomposition in [12], Chen-Pan-Zhu proved that gradient blowup of $u$ and/or $\tau$ happens in finite time if and only

\[^1\]The author thanks Helge Kristian Jenssen who first pointed out this result to him.
if the initial data are forward or backward compressive somewhere. Next, for general Lipschitz continuous solution, Chen-Pan-Zhu in [5] improved the lower bound on density from the order of \(O(1 + t)^{-4/(3 - \gamma)}\) to the optimal order \(O(1 + t)^{-1}\) by introducing a polygonal scheme. The advantage of this method is that it works for not only classical solutions but also Lipschitz continuous solutions. And the scheme itself is of both analytical and numerical interest. However, the use of a polygonal scheme makes the proof very complex and the method seems hard to be extended to full Euler equations. Another result on the lower bound of density for classical solution in the order of \(O(1 + t)^{-1}\) when \(\gamma = 3\) was given by A. Bressan\(^2\), where the proof relies on the study of Riccati equations established by Lax in [12].

For non-isentropic full Euler equations, the only polynomial order upper bound of \(\tau\) (lower bound of \(\rho\)) for general classical solution is given by Chen-Pan-Zhu in [4], in which they show density has a lower bound in the order of \(O(1 + t)^{-4/(3 - \gamma)}\) when \(1 < \gamma < 3\). However, lower bound of density in optimal order \(O(1 + t)^{-1}\) is still not available for isentropic Euler equations with \(\gamma > 3\) and full nonisentropic Euler equations with \(\gamma > 1\), before this paper.

In this paper, we consider classical solutions of Cauchy problems of both isentropic Euler equations and nonisentropic Euler equations. And we assume that initial density is uniformly positive. We give a short proof that density has time-dependent lower bound in optimal order \(O(1 + t)^{-1}\) for isentropic Euler equations (in Theorem 2.1) and in almost optimal order \(O(1 + t)^{-1-\delta}\) for any \(0 < \delta < \frac{1}{3}\) for full Euler equations (in Theorem 2.3) in one space dimension, respectively.

Furthermore, for classical solutions, we prove that \(u_x(x, t)\) for p-system and \(\rho^\varepsilon u_x\) for any \(0 < \varepsilon < \frac{1}{4}\) for full Euler equations are uniformly bounded above by a constant, respectively, although they are unbounded from below when gradient blowup happens, i.e. when shock forms. In Eulerian coordinates \((y, t)\), we show for full Euler equations \(u_y(y, t)\) is uniformly bounded above by a constant.

The lower bounds of density achieved in this paper can give us more precise estimate of life span of classical solution than those achieved in [4] and motivate us in searching lower bound of density for BV solutions including shock waves, which is a major obstacle in establishing large BV existence theory for Euler equations.

The rest of the paper is divided into three sections. In Section 2, we introduce the main results and ideas in this paper. In Section 3, we prove Theorem 2.1 for p-system. In Section 4, we prove Theorem 2.3 for full Euler equations.

### 2. Main results and ideas

Let’s first introduce some variables and notations. For Euler equations (1.1)~(1.5), we use variables
\[
m = e^{\frac{\rho}{\gamma - 1}} \quad \text{and} \quad \eta = \frac{2\sqrt{K}}{\gamma - 1} \tau^{-\frac{\gamma - 1}{2}}
\]
(2.1)
to take the roles of \(S\) and \(\tau\). We denote the Riemann invariants
\[
s = u + m \eta \quad \text{and} \quad r = u - m \eta
\]
(2.2)
respectively, and gradient variables
\[
\alpha = u_x + m \eta_x + \frac{\gamma - 1}{\gamma} m_x \eta \quad \text{and} \quad \beta = u_x - m \eta_x - \frac{\gamma - 1}{\gamma} m_x \eta.
\]
(2.3)
\(^2\)The author knew this unpublished result through a private communication with A. Bressan.
For the isentropic Euler equations (p-system) \((1.6)\sim (1.8)\), whose solutions are special solutions of full Euler equations \((1.1)\sim (1.4)\) when we restrict our consideration on the classical solution, Riemann invariants are
\[
s = u + \eta \quad \text{and} \quad r = u - \eta \quad (2.4)
\]
and
\[
\alpha = u_x + \eta_x = s_x \quad \text{and} \quad \beta = u_x - \eta_x = r_x \quad (2.5)
\]
The main results in this paper are listed in the following two theorems: Theorem 2.1 for p-system and Theorem 2.3 for full Euler equations.

**Theorem 2.1.** We consider \(C^1\) solution \((u(x,t), \tau(x,t))\) of isentropic Euler equations \((1.6)\sim(1.8)\) in the region \((x,t) \in \mathbb{R} \times [0,T)\), where \(T\) can be any finite positive constant or infinity. Assume \(u(x,0), \tau(x,0) > 0, \rho(x,0) = 1/\tau(x,0), \alpha(x,0)\) and \(\beta(x,0)\) are all uniformly bounded, where \(\alpha\) and \(\beta\) take the form in \((2.5)\).

If we use \(M\) to denote an upper bound of \(\alpha(x,0)\) and \(\beta(x,0)\), i.e.

\[
\max_{x \in \mathbb{R}} \{\alpha(x,0), \beta(x,0)\} < M \quad (2.6)
\]
then

\[
\max_{(x,t) \in \mathbb{R} \times [0,T)} \{\alpha(x,t), \beta(x,t)\} < M. \quad (2.7)
\]

This gives

\[
\max_{(x,t) \in \mathbb{R} \times [0,T)} \{\tau_t\} = \max_{(x,t) \in \mathbb{R} \times [0,T)} \{u_x\} < M \quad (2.8)
\]
by \((2.5)\) and \((1.6)\). Hence, there exist positive constants \(M_1\) and \(M_2\) independent of \(T\) such that

\[
\min_x \rho(x,t) \geq \frac{M_1}{M_2 + t}. \quad (2.9)
\]

The key step in the proof of Theorem 2.1 is to prove \((2.7)\). In fact, suppose \((2.7)\) is correct, then by the conservation of mass \((1.6)\) and \((2.5)\), we can easily prove \((2.8)\):

\[
\tau_t = u_x = \frac{1}{2}(\alpha + \beta) < M \quad (2.10)
\]
which directly gives \((2.9)\), together with the initial condition. To prove \((2.7)\), we need to study the characteristic decomposition established by Lax in [12]. The key idea is to find an invariant domain on \(\alpha\) and \(\beta\). The idea in using invariant domain on gradient variables to study classical solutions of Euler systems was first used in [17] by Eitan Tadmor and Dongming Wei on isentropic Euler-Poission equations.

One conclusion that we can draw from \((2.6)\sim(2.7)\) is that although the variables \(\alpha\) and \(\beta\) might increase along forward and backward characteristics, respectively, the function \(\max_{x \in \mathbb{R}} \{\alpha(x,t), \beta(x,t)\}\) is not increasing with respect to \(t\), which means that the maximum rarefaction of classical solution is not increasing. This result can be easily seen from the fact that \((2.7)\) is still correct if we change \(0\) in \((2.6)\) into any \(t^* \in (0,t)\).

**Remark 2.2.** Under assumptions in Theorem 2.1, in Eulerian coordinates \((y,t)\), the inequality \((2.8)\) gives that smooth solutions in the region \((y,t) \in \mathbb{R} \times [0,T)\) satisfy

\[
\max_{(y,t) \in \mathbb{R} \times [0,T)} \left\{\frac{uy}{\rho}\right\} < M, \quad (2.11)
\]
where $M$ is the constant given in (2.6), because $\rho u_x(x,t) = u_y(y,t)$. See [16] for the transformation between Eulerian and Lagrangian coordinates.

Since $\rho$ is uniformly bounded above, which can be easily proved by the fact that Riemann invariants $s$ and $r$ are initially bounded and are constant along forward and backward characteristics, respectively, we know

$$\max_{(y,t)\in\mathbb{R}\times[0,T)} \left\{ u_y \right\} < \tilde{M},$$

for some constant $\tilde{M}$ independent of $T$.

Then we consider the full Euler equations.

**Theorem 2.3.** We consider $C^1$ solution $(u(x,t),\tau(x,t),S(x))$ of full Euler equations (1.1)~(1.4) in the region $(x,t) \in \mathbb{R} \times [0,T)$. Here, $T$ can be any finite positive constant or infinity. Assume that initial data $u(x,0), \tau(x,0) > 0, \rho(x,0) = 1/\tau(x,0), S(x), S'(x), \alpha(x,0)$ and $\beta(x,0)$ are all uniformly bounded and total variation of $S(x)$ is finite, where $\alpha$ and $\beta$ satisfy (2.3). Then, for any $0 < \varepsilon < \frac{1}{4}$, there exists constant $N_0$ independent of $T$, such that

$$\max_{(x,t)\in\mathbb{R}\times[0,T)} \left\{ \rho^\varepsilon \cdot \tau_t \right\} = \max_{(x,t)\in\mathbb{R}\times[0,T)} \left\{ \rho^\varepsilon \cdot u_x \right\} < N_0,$$

and there exist positive constants $N_1$ and $N_2$ independent of $T$ such that

$$\min_x \rho(x,t) \geq \left( \frac{N_1}{N_2 + t} \right)^{1+\delta},$$

where $\delta = \frac{\varepsilon}{1-\varepsilon} > 0$. Clearly, $\delta \to 0^+$ as $\varepsilon \to 0^+$.

We first prove a result in Lemma 4.4 taking the similar role as (2.7) in Theorem 2.9. In fact, we find uniform bounds on gradient variables $\rho^\varepsilon \alpha$ and $\rho^\varepsilon \beta$, using which we can easily prove (2.12) by (2.3) and (1.1):

$$\rho^\varepsilon \tau_t = \rho^\varepsilon u_x = \frac{1}{2}(\rho^\varepsilon \alpha + \rho^\varepsilon \beta) < \text{Constant},$$

then show (2.13). The reason why we use $\rho^\varepsilon \alpha$ and $\rho^\varepsilon \beta$ instead of $\alpha$ and $\beta$ is to control the lower order terms in the Riccati equations produced by the varying entropy. The proof of Theorem 2.3 also relies on the uniform constant upper bound of density established in [8] by Chen-Young-Zhang for classical solutions when total variation of initial entropy is finite.

**Remark 2.4.** Under assumptions in Theorem 2.3, in Eulerian coordinates $(y,t)$, the inequality (2.8) gives that the classical solution in the region $(y,t) \in \mathbb{R} \times [0,T)$ satisfies

$$\max_{(y,t)\in\mathbb{R}\times[0,T)} \left\{ \frac{u_y}{\rho^{1-\varepsilon}} \right\} < N_0.$$

Since $\rho$ is uniformly bounded above under assumptions in Theorem 2.3, we know

$$\max_{(y,t)\in\mathbb{R}\times[0,T)} \left\{ u_y \right\} < N_0,$$

for some constant $N_0$ independent of $T$. 
See [16] for the transformation between Eulerian and Lagrangian coordinates. Since this result is a local result, we only need to assume that initial entropy is locally BV.

One direct application of Theorem 2.3 is that one can use (2.13) to improve the life-span estimate established in [4] when \( 1 < \gamma < 3 \) which depends on the time-dependent lower bound of density. We leave this to the reader.

3. Lower bound of density for p-system: The proof of Theorem 2.1

We first introduce the characteristic decompositions for \( C^1 \) solution of p-system. For any classical solution for (1.6)\~(1.8), the Riemann invariants \( s \) and \( r \) in (2.4) are constant along forward and backward characteristics, respectively,

\[
\partial_+ s = 0 \quad \text{and} \quad \partial_- r = 0 \tag{3.1}
\]

with

\[
\partial_+ = \partial_t + c\partial_x \quad \text{and} \quad \partial_- = \partial_t - c\partial_x
\]

and wave speed

\[
c = \sqrt{-p_\tau} = \sqrt{K \gamma \tau^{-\frac{\gamma+1}{2}}}. 
\]

Furthermore, gradient variables \( \alpha = s_x \) and \( \beta = r_x \) defined in (2.5) satisfy the following Riccati equations.

**Proposition 3.1.** [2] The classical solution in (1.6)\~(1.8) satisfy

\[
\partial_+ \alpha = k_1 \{ \alpha \beta - \alpha^2 \}, \tag{3.2}
\]

and

\[
\partial_- \beta = k_1 \{ \alpha \beta - \beta^2 \}, \tag{3.3}
\]

where

\[
k_1 \doteq (\gamma+1)Kc^2(\gamma-1)^2, \tag{3.4}
\]

where \( Kc \) is a positive constant given in (4.6). The function \( \eta > 0 \) is defined in (2.1).

Equations (3.2) and (3.3) are special examples of Lax’s decompositions in [12] for general hyperbolic systems with two unknowns. See detailed derivation of (3.2) and (3.3) in [2].

**Remark 3.2.** The idea for the proof of (2.7) can be seen from Figure 1.

\[
\begin{align*}
\beta
\end{align*}
\]

**Figure 1.** \( \max\{\alpha, \beta\} < M \) is an invariant domain. Note: \( \alpha \) (or \( \beta \)) might increase along characteristic.
Before the proof, we remark on one fact that $\rho$, $\eta$, $c$, and $k_1$ are all bounded above by some constants if assumptions in Theorem 2.1 are satisfied. This can be easily obtained by (3.1), which says that $s$ and $r$ are constant along forward and backward characteristics. As a consequence, $\rho$, $\eta$, $c$ and function $k_1$ are all uniformly bounded from above. Denote

$$K_1 \doteq \max_{(x,t) \in \mathbb{R} \times [0,T)} k_1(x,t),$$

where $K_1$ is a constant only depending on $\gamma$ and initial condition.

**Proof of Theorem 2.1.** We first prove (2.7) by contradiction. Without loss of generality, assume that $\alpha(x_0,t_0) = M$ at some point $(x_0,t_0)$. See Figure 2.

![Figure 2](image_url)

**Figure 2.** Proof of Theorems 2.1 and 2.3.

Because wave speed $c$ is bounded above, we can find the characteristic triangle with vertex $(x_0,t_0)$ and lower boundary on the initial line $t = 0$, denoted by $\Omega$.

Then we can find the first time $t_1$ such that $\alpha = M$ or $\beta = M$ in $\Omega$. More precisely,

$$\max_{(x,t) \in \Omega, t < t_1} \left( \alpha(x,t), \beta(x,t) \right) < M,$$

and $\alpha(x_1,t_1) = M$ or/and $\beta(x_1,t_1) = M$ for some $(x_1,t_1) \in \Omega$. Without loss of generality, still assume $\alpha(x_1,t_1) = M$. The proof for another case is entirely same. Let’s denote the characteristic triangle with vertex $(x_1,t_1)$ as $\Omega_1 \subset \Omega$, then

$$\max_{(x,t) \in \Omega_1, t < t_1} \left( \alpha(x,t), \beta(x,t) \right) < M,$$

and $\alpha(x_1,t_1) = M$. By the continuity of $\alpha$, we could find a time $t_2 \in [0,t_1)$ such that,

$$\alpha(x,t) > 0, \quad \text{for any} \quad (x,t) \in \Omega_1 \quad \text{and} \quad t \geq t_2. \quad (3.7)$$

Next we derive a contradiction. By (3.2), (3.5) and (3.6)$\sim$(3.7), along the forward characteristic segment through $(x_1,t_1)$ when $t_2 \leq t < t_1$,

$$\partial_+ \alpha = V_1 \{ \alpha \beta - \alpha^2 \} \leq K_1 \{ M \alpha - \alpha^2 \}$$

which gives, through integration along characteristic,

$$\frac{\partial \alpha}{(M - \alpha)^2} \leq K_1 dt$$

$$\Rightarrow \frac{1}{M \ln \frac{\alpha(t)}{M - \alpha(t)}} \leq \frac{1}{M \ln \frac{\alpha(t_2)}{M - \alpha(t_2)}} + K_1(t - t_2).$$

As $t \to t_1^-$, left hand side approaches infinity while right hand side approaches a finite number, which gives a contradiction. Hence we prove that (2.7) is correct, i.e. $\alpha$ and $\beta$ are uniformly bounded above. Then by the conservation of mass (1.6) and
(2.5), we have (2.10) then (2.8), which directly gives (2.9). Hence we complete the proof of Theorem 2.1.

**Remark 3.3.** Theorem 2.1 can be extended to the case with general pressure law $p = p(\tau)$ with $p_\tau < 0$, $p_{\tau\tau} > 0$ and some other suitable conditions on $p$. We leave this to the reader and refer the reader to [6] for the Riccati equations and the definitions of $\alpha$ and $\beta$. For full Euler equations, the extension of Theorem 2.3 to general pressure law is still not available because the current result on uniform upper bound of density is only available for $\gamma$-law pressure.

**4. Full compressible Euler equations**

**4.1. Equations and coordinates.** We first introduce some notations and existing equations for $C^1$ solutions of full Euler equations (1.1)∼(1.4). Recall we use new variables $m$ and $\eta$ to take the roles of $S$ and $\tau$, respectively:

$$m = e^{\frac{S}{\gamma c}}$$  \hspace{1cm} (4.1)

and

$$\eta = \frac{2\sqrt{K\gamma}}{\gamma - 1} \tau^{-\frac{\gamma-1}{2}}.$$  \hspace{1cm} (4.2)

Without confusion, we still use $c$ to denote the nonlinear Lagrangian wave speed for full Euler equations, where

$$c = \sqrt{-p_\tau} = \sqrt{K_\gamma \tau^{-\frac{\gamma+1}{2}}} e^{\frac{S}{\gamma c}}.$$  \hspace{1cm} (4.3)

The forward and backward characteristics are described by

$$\frac{dx}{dt} = c \hspace{1cm} \text{and} \hspace{1cm} \frac{dx}{dt} = -c,$$  \hspace{1cm} (4.4)

and we denote the corresponding directional derivatives along these characteristics by

$$\partial_+ := \frac{\partial}{\partial t} + c \frac{\partial}{\partial x} \hspace{1cm} \text{and} \hspace{1cm} \partial_- := \frac{\partial}{\partial t} - c \frac{\partial}{\partial x},$$

respectively.

It follows that

$$\tau = K_\tau \eta^{-\frac{2}{\gamma-1}},$$

$$p = K_p m^2 \eta^{\frac{\gamma+1}{\gamma}},$$

$$c = c(\eta, m) = K_c m \eta^{\frac{\gamma+1}{\gamma-1}}.$$  \hspace{1cm} (4.5)

with positive constants

$$K_\tau := \left(\frac{2\sqrt{K\gamma}}{\gamma - 1}\right)^{\frac{2}{\gamma-1}}, \hspace{0.5cm} K_p := K K_\tau^{-\gamma}, \hspace{0.5cm} \text{and} \hspace{0.5cm} K_c := \sqrt{K\gamma} K_\tau^{-\frac{\gamma+1}{\gamma}},$$  \hspace{1cm} (4.6)

so that also

$$K_p = \frac{\tau^{-\frac{\gamma-1}{2\gamma}}}{\gamma-1} K_c \hspace{1cm} \text{and} \hspace{1cm} K_\tau K_c = \frac{\gamma-1}{2}.$$  \hspace{1cm} (4.7)

In these coordinates, for $C^1$ solutions, equations (1.1)∼(1.4) are equivalent to

$$\eta_t + \frac{c}{m} u_x = 0,$$  \hspace{1cm} (4.8)

$$u_t + m c \eta_x + \frac{p}{m} m_x = 0,$$  \hspace{1cm} (4.9)

$$m_t = 0,$$  \hspace{1cm} (4.10)
where the last equation comes from (1.5), which is equivalent to (1.3), c.f. [16]. Note that, while the solution remains $C^1$, $m = m(x)$ is given by the initial data and can be regarded as a stationary quantity.

Recall that we denote the Riemann invariants by
\[ r := u - m \eta \quad \text{and} \quad s := u + m \eta. \] (4.11)

Different from the isentropic case ($m$ constant), for general non-isentropic flow, $s$ and $r$ vary along characteristics. Also recall we denote gradient variables
\[ \alpha = u_x + m \eta_x + \frac{2^{-1} \gamma - 1}{\gamma} m_x \eta, \] (4.12)
\[ \beta = u_x - m \eta_x - \frac{2^{-1} \gamma - 1}{\gamma} m_x \eta, \] (4.13)

which satisfy the following Riccati equations. See detailed derivation in [2].

**Proposition 4.1.** [2] The classical solutions for (1.1)–(1.3) satisfy
\[ \partial_+ \alpha = k_1 \{ k_2 (3 \alpha + \beta) + \alpha \beta - \alpha^2 \}, \] (4.14)
\[ \partial_- \beta = k_1 \{ -k_2 (\alpha + 3 \beta) + \alpha \beta - \beta^2 \}, \] (4.15)

where
\[ k_1 = \frac{(\gamma + 1) K_c}{2(\gamma - 1)} \eta \frac{2}{\gamma - 1}, \quad k_2 = \frac{\gamma - 1}{\gamma (\gamma + 1)} \eta m_x. \] (4.16)

Proposition 3.1 is in fact a corollary of Proposition 4.1 for the isentropic case in which $m_x \equiv 0$.

### 4.2. Uniform upper bound on density.

In this part, we review a result on the uniform upper bounds of $|u|$ and $\rho$ established by G. Chen, R. Young and Q. Zhang in [7], for later references.

In this section, we always assume all initial conditions in Theorem 2.3 are satisfied. So that
\[ V := \frac{1}{2c_v} \int_{-\infty}^{+\infty} |S'(x)| \, dx = \int_{-\infty}^{+\infty} \frac{|m'(x)|}{m(x)} \, dx < \infty, \] (4.17)

while also, by (4.1),
\[ 0 < M_L < m(\cdot) < M_U, \] (4.18)

for some constants $M_L$ and $M_U$. Also there exist positive constants $M_s$ and $M_r$, such that, in the initial data,
\[ |s(\cdot, 0)| < M_s \quad \text{and} \quad \rho u(\cdot, 0) | < M_r. \] (4.19)

In the following proposition established in [7], $|u|$ and $\rho$ are shown to be uniformly bounded above.

**Proposition 4.2.** [7] Assume all initial conditions in Theorem 2.3 are satisfied. And assume system (1.1)–(1.4) has a $C^1$ solution when $t \in [0, T)$, then one has the uniform bounds
\[ |u(x, t)| \leq \frac{L_1 + L_2}{2} M_U^{\frac{1}{\gamma_1}} \quad \text{and} \quad \eta(x, t) \leq \frac{L_1 + L_2}{2} M_L^{\frac{1}{\gamma_1} - 1}, \] (4.20)

where
\[ L_1 := M_s + \nabla M_r + \nabla (\nabla M_s + \nabla^2 M_r) e^{\nabla^2}, \]
\[ L_2 := M_r + \nabla M_s + \nabla (\nabla M_r + \nabla^2 M_s) e^{\nabla^2}, \]
and

\[ \nabla := \frac{V}{2\gamma}. \]

Constants \( L_1 \) and \( L_2 \) both clearly depend only on the initial data and \( \gamma \). Here \( T \) can be any positive number or infinity. And the bounds are independent of \( T \).

4.3. **Proof of Theorem 2.3.** Similar as Theorem 2.1 for p-system, the key idea is still to get the uniform upper bound of some gradient variables measuring rarefaction.

However, we cannot directly get the uniform upper bound of \( \alpha \) and \( \beta \). In fact, comparing to (3.2)\( \sim \)(3.3), equations (4.14)\( \sim \)(4.15) include some first order terms in the right hand side. In order to cope with them, we introduce some new gradient variables

\[ \alpha_\varepsilon = \eta^{\frac{2\varepsilon}{\gamma-1}}\alpha \quad \text{and} \quad \beta_\varepsilon = \eta^{\frac{2\varepsilon}{\gamma-1}}\beta, \quad (4.21) \]

Using (4.8), we have

\[ \partial_+ \eta = \partial_+ \eta + cn_x = -\frac{c}{m}u_x + cn_x = -K_c\eta^{\frac{\gamma+1}{\gamma-1}}\beta - \frac{\gamma-1}{\gamma}K_c\eta^{\frac{2\varepsilon}{\gamma-1}}m_x, \]

and

\[ \partial_- \eta = \partial_- \eta - cn_x = -\frac{c}{m}u_x - cn_x = -K_c\eta^{\frac{\gamma+1}{\gamma-1}}\alpha + \frac{\gamma-1}{\gamma}K_c\eta^{\frac{2\varepsilon}{\gamma-1}}m_x, \]

then it is easy to prove the next lemma by Proposition 4.1.

**Lemma 4.3.** The classical solutions in (1.1)\( \sim \)(1.3) satisfy

\[ \partial_+ \alpha_\varepsilon = k_1\varepsilon \left\{ k_2\varepsilon(3\alpha_\varepsilon - 4\varepsilon\alpha_\varepsilon + \beta_\varepsilon) + (1 - \frac{4\varepsilon}{\gamma+1})\alpha_\varepsilon\beta_\varepsilon - \alpha_\varepsilon^2 \right\}, \quad (4.22) \]

and

\[ \partial_- \beta_\varepsilon = k_1\varepsilon \left\{ -k_2\varepsilon(\alpha_\varepsilon + 3\beta_\varepsilon - 4\varepsilon\beta_\varepsilon) + (1 - \frac{4\varepsilon}{\gamma+1})\alpha_\varepsilon\beta_\varepsilon - \beta_\varepsilon^2 \right\}, \quad (4.23) \]

where

\[ k_1\varepsilon = \frac{(\gamma+1)K_c}{2(\gamma-1)}\eta^{\frac{\gamma+1}{\gamma-1}(1-\varepsilon)}, \quad k_2\varepsilon = \frac{\gamma-1}{\gamma(\gamma+1)}\eta^{1+\frac{2\varepsilon}{\gamma-1}}m_x, \quad (4.24) \]

and

\[ 0 < \varepsilon < \frac{1}{4}. \quad (4.25) \]

Note, for any \( C^1 \) solutions in \((x,t) \in \mathbb{R} \times [0,T)\) satisfying initial conditions in Theorem 2.3, using Proposition 4.2, for any \( \varepsilon \) satisfying (4.25), we know \(|k_1\varepsilon(x,t)|\) and \(|k_2\varepsilon(x,t)|\) are both uniformly bounded above:

\[ |k_1\varepsilon(x,t)| < \tilde{K}_1 \quad \text{and} \quad |k_2\varepsilon(x,t)| < \tilde{K}_2, \quad (4.26) \]

where constants \( \tilde{K}_1 \) and \( \tilde{K}_2 \) only depend on initial conditions and \( \gamma \) but independent of \( \varepsilon \).

Next we give the key lemma which will be proved later.

**Lemma 4.4.** Suppose the initial conditions in Theorem 2.3 are satisfied. For any \( \varepsilon \) satisfying (4.25), if we use \( N \) to denote an upper bound of \( \alpha_\varepsilon(x,0) \) and \( \beta_\varepsilon(x,0) \), i.e.

\[ \max_{x \in \mathbb{R}} \left\{ \alpha_\varepsilon(x,0), \beta_\varepsilon(x,0) \right\} < N \quad (4.27) \]
where constant $N$ also satisfies
\[
N > \max \left\{ \frac{4(\gamma+1)K_2}{\varepsilon}, \frac{2K_2}{1-\frac{\varepsilon}{\gamma+1}} \right\}, \tag{4.28}
\]
then
\[
\max_{(x,t) \in \mathbb{R} \times [0,T)} \left\{ \alpha_\varepsilon(x,t), \beta_\varepsilon(x,t) \right\} < N. \tag{4.29}
\]

(4.25).

**Proof of Theorem 2.3.** We only have to show Lemma 4.4. In fact, if Lemma 4.4 is proved, then by the conservation of mass (1.1) and definitions of $\alpha_\varepsilon$ and $\beta_\varepsilon$ in (4.21) and (4.12)~(4.13), we have
\[
\eta^\frac{-\varepsilon}{\gamma-1} \tau_t = \eta^\frac{-\varepsilon}{\gamma-1} u_x = \frac{1}{2} (\alpha_\varepsilon + \beta_\varepsilon) < N
\]
which gives that, by (4.2), $\tau = 1/\rho$ and initial density has positive lower bound, there exists positive constants $N_1$ and $N_2$, such that
\[
\rho > \left( \frac{N_1}{N_2 + t} \right)^{1+\delta}
\]
where
\[
\delta = \frac{\varepsilon}{1-\varepsilon}.
\]
Then it is easy to see that all results in Theorem 2.3 are correct.

Now we prove Lemma 4.4 by contradiction. We still use Figure 2. Without loss of generality, assume that $\alpha_\varepsilon(x_0,t_0) = N$, at some point $(x_0,t_0)$.

Because wave speed $c$ is bounded above, we can find the characteristic triangle with vertex $(x_0,t_0)$ and lower boundary on the initial line $t=0$, denoted by $\Omega$.

Then we can find the first time $t_1$ such that $\alpha_\varepsilon = N$ or $\beta_\varepsilon = N$ in $\Omega$. More precisely,
\[
\max_{(x,t) \in \Omega, t < t_1} \left( \alpha_\varepsilon(x,t), \beta_\varepsilon(x,t) \right) < N,
\]
and $\alpha_\varepsilon(x_1,t_1) = N$ or $\beta_\varepsilon(x_1,t_1) = N$ for some $(x_1,t_1) \in \Omega$. Without loss of generality, still assume $\alpha_\varepsilon(x_1,t_1) = N$. The proof for another case is entirely same.

Let’s denote the characteristic triangle with vertex $(x_1,t_1)$ as $\Omega_1 \in \Omega$, then
\[
\max_{(x,t) \in \Omega_1, t < t_1} \left( \alpha_\varepsilon(x,t), \beta_\varepsilon(x,t) \right) < N,
\]
and $\alpha_\varepsilon(x_1,t_1) = N$.

Then we divide the problem into two cases:

I. $N \geq \beta_\varepsilon(x_1,t_1) > -\frac{N}{2}$;

II. $\beta_\varepsilon(x_1,t_1) \leq -\frac{N}{2}$.

In case I, by the continuity of $\alpha_\varepsilon$ and $\beta_\varepsilon$ and our construction, we can find a time $t_2 \in [0,t_1)$ such that,
\[
\frac{N}{2} < \alpha_\varepsilon(x,t) < N \quad \text{and} \quad |\beta_\varepsilon| < N, \quad \text{for any} \quad (x,t) \in \Omega_1 \quad \text{and} \quad t_2 \leq t < t_1. \tag{4.30}
\]

Then using (4.22), (4.26), (4.28) and (4.30), along the forward characteristic segment through $(x_1,t_1)$, when $t_2 \leq t < t_1$, we have
\[
\partial_+ \alpha_\varepsilon \leq k_1 \varepsilon \left( 1 - \frac{4\varepsilon}{\gamma+1} \right) (\alpha_\varepsilon \beta_\varepsilon - \alpha_\varepsilon^2) \leq \tilde{K}_1 (N \alpha_\varepsilon - \alpha_\varepsilon^2)
\]
with
\[ \tilde{K}_1 = \hat{K}_1(1 - \frac{4\varepsilon}{\gamma + 1}), \]
which gives, through integration along characteristic,
\[ \frac{d\alpha_\varepsilon}{(N - \alpha_\varepsilon)\alpha_\varepsilon} \leq \tilde{K}_1 dt \]
\[ \Rightarrow \frac{1}{N} \ln \frac{\alpha_\varepsilon(t)}{N - \alpha_\varepsilon(t)} \leq \frac{1}{N} \ln \frac{\alpha_\varepsilon(t_2)}{N - \alpha_\varepsilon(t_2)} + \tilde{K}_1(t - t_2). \]

As \( t \to t_1^- \), left hand side approaches infinity while right hand side approaches a finite number, which gives a contradiction.

In case II, by the continuity of \( \alpha_\varepsilon \), we could find a time \( t_3 \in [0, t_1) \) such that,
\[ \frac{N}{2} < \alpha_\varepsilon(x, t) < N \quad \text{and} \quad \beta_\varepsilon(x, t) < -\frac{N}{4}, \]
for any \((x, t) \in \Omega_1 \) and \( t_3 \leq t < t_1 \).

which gives, by (4.28),
\[ (k_2\varepsilon + (1 - \frac{4\varepsilon}{\gamma + 1})\alpha_\varepsilon) \beta_\varepsilon < 0. \]

Hence by (4.28), (4.25) and (4.31), we have
\[ \partial_+ \alpha_\varepsilon < k_1\varepsilon \{k_2\varepsilon(3 - 4\varepsilon)\alpha_\varepsilon - \alpha_\varepsilon^2\} < 0. \]

As a consequence, \( \alpha_\varepsilon \) decreases on \( t \) along the forward characteristic line through \((x_1, t_1)\), when \( t_3 \leq t < t_1 \), which contradicts to that \( \alpha_\varepsilon(x_1, t_1) = N \) while \( \alpha_\varepsilon(x, t) < N \) when \((x, t) \in \Omega_1 \) and \( t_3 \leq t < t_1 \). Hence Lemma 4.4 is proved. We complete the proof of Theorem 2.3.

REFERENCES


School of Mathematics, Georgia Institute of Technology, Atlanta, GA 30332 USA (gchen73@math.gatech.edu).